

07/31/2006 10:08:00 AM

PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130

Complete if Known

Application Number	10/542,208
Filing Date	January 13, 2004 (NFL-USC-371-7/14/05)
First Named Inventor	Massimo Buscema
Examiner Name	Not Applicable
Art Unit	Not Applicable
Attorney Docket No.	84637.010500-US-F1

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 501561 Deposit Account Name: GREENBERG TRAUIG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)

- 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)

- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Late Filing of Documents

\$130

SUBMITTED BY

Signature

Registration No. 31,345
(Attorney/Agent)

Telephone 212-801-9200

Name (Print/Type)

Gerard Diebner

Date 4/13/2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Massimo Buscema
SERIAL NO.: 10/542,208
FILED: January 13, 2004 (35 U.S.C. 371-07/14/05)
ATTY. DOCKET: 84637.010500
TITLE: **SYSTEM AND METHOD FOR THE OPTIMIZATION OF A
DATABASE FOR THE TRAINING AND TESTING OF PREDICTION
ALGORITHMS**

REGULAR MAIL CERTIFICATE

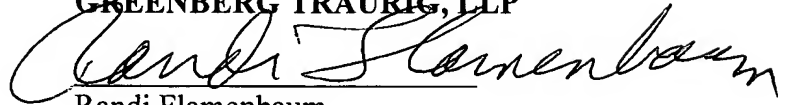
Date of Deposit: April 13, 2006

I hereby certify that the following attached paper(s) and/or fee

- (1) A copy of the Notification of Missing Requirements under 35 U.S.C. 371 In the USA (2 pgs)
- (2) Copy of Executed Combined Declaration of Inventor (3 pgs);
- (3) Fee Transmittal to deduct \$130 for late filing of documents from Deposit account 501561;
- (4) A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

are being deposited with the United States Postal Service to Addressee service under 37 C.F.R. Section 1.10 on the date indicated above and is addressed to the MAIL STOP MISSING PARTS; Commissioner for Patents, P. O. Box 1450; Alexandria, VA 22313-1450.

Respectfully submitted,
GREENBERG TRAUBIG, LLP


Randi Flamenbaum
Legal Assistant

Dated: April 13, 2006

Gerard Diebner, Esq.
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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE
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U.S. APPLICATION NUMBER

10/542,208

FIRST NAMED APPLICANT

Massimo Buscema

ATTY. DOCKET NO.

84637.010500-US-F1

INTERNATIONAL APPLICATION NO.

PCT/EP04/00157

I.A. FILING DATE

01/13/2004

PRIORITY DATE

01/15/2003

32361

GREENBERG TRAURIG, LLP
 MET LIFE BUILDING
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 NEW YORK, NY 10166

CASE 84637 010500 ATTY ESLDUE April 21, 20061 MO. CALL-UP March 21, 2006

CONFIRMATION NO. 1738

371 FORMALITIES LETTER



OC000000018064779

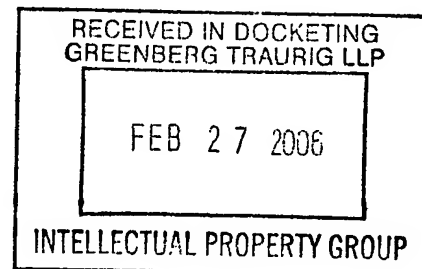
Date Mailed: 02/21/2006

BY efm

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/14/2005
- Copy of the International Search Report filed on 07/14/2005
- Preliminary Amendments filed on 07/14/2005
- Information Disclosure Statements filed on 07/14/2005
- Copy of references cited in ISR filed on 07/14/2005
- U.S. Basic National Fees filed on 07/14/2005
- Priority Documents filed on 07/14/2005
- Specification filed on 07/14/2005
- Claims filed on 07/14/2005
- Abstracts filed on 07/14/2005
- Drawings filed on 07/14/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/542,208	PCT/EP04/00157	84637.010500-US-F1